

## Software & Tools

### The Joy of T<sub>E</sub>X2PDF — Acrobatics with an Alternative to DVI Format

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#### Abstract

This paper presents a discussion about generating Portable Document Format (PDF) directly from T<sub>E</sub>X source using a prototype T<sub>E</sub>X2PDF program. This is a derivative made from the T<sub>E</sub>X source which allows us to bypass DVI output generation, and to produce documents in Adobe PDF directly. Motivations for the T<sub>E</sub>X2PDF approach are discussed and further possible enhancements are outlined.

#### 1 Motivation

GO FORTH *now*  
and create masterpieces  
of the publishing art!  
Don Knuth [19], p. 303.

General acceptance of T<sub>E</sub>X for the publishing of technical documents has spread enormously during the last two decades. Since T<sub>E</sub>X's inception, however, new standards have emerged in the publishing world. SGML and L<sup>A</sup>T<sub>E</sub>X for markup, PostScript and Portable Document Format as page description languages (PDL), are just a few of the buzzwords in the arena. Publishers are moving towards the art of creating *electronic* documents.

T<sub>E</sub>X's typesetting engine outputs its results in the device independent (DVI) page description format [9, 10]. To avoid duplication, and to be backward compatible, various extensions to the DVI format have been used via the `\special` command. Do you need color? Use color supporting `\specials`. Do you need PostScript fragments in the `.dvi` file? Graphics in various formats? PDF fragments in the `.dvi` file? Hypertext? Document/object structure markup for an SGML driver? Every new application usually ends up as a new set of `\specials`, which are unfortunately, not yet standardized [27, 25].

Do you need portable object reuse in your `.dvi` file? Sound? Portable Multiple Master font parameters? No `\specials` for these are in sight.

As a result of all this, documents in DVI format are not really portable, as they usually contain a lot of `\specials`, and visual appearance depends on the device drivers available at the reader's site. These

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and similar problems and thoughts have led us to research on the possibility of generating portable electronic documents which will offer widest range of functionality from well established and widely used (L<sup>A</sup>)T<sub>E</sub>X sources.

In section 2 we give an overview of current formats relevant to electronic document storage. In section 3 we discuss the current possibilities for producing PDF—a possible format of choice for electronic documents. We suggest a new approach by means of the T<sub>E</sub>X2PDF program in section 4 and, in section 5, its merits with respect to other approaches. We conclude with a discussion of object reuse in section 6, and future developments in section 7.

## 2 Formats for Electronic Document Delivery

### 2.1 DVI Format

A .dvi file is the standard output of a T<sub>E</sub>X run and is often used as a format for storage and exchange of typeset T<sub>E</sub>X documents.

DVI format is heavily (but not exclusively) used e.g. in the Los Alamos e-Print archive <http://xxx.lanl.gov/>. Several tens of thousands documents are available (typeset by autoT<sub>E</sub>Xing scripts) from there. The disadvantage is that the documents are not ‘self-embedded’, which means that they rely on standardisation of font names and availability of fonts at the document consumer’s site. Hypertext extensions to the DVI format have been accomplished by a set of HTML-like `\specials` defined by the HyperT<sub>E</sub>X project (<http://xxx.lanl.gov/hypertext/>) and special versions of previewers (`xhdvi`), `dvihps` and `ghostscript` (`ghostview`) have been developed.

### 2.2 Portable Document Format

PDF [5] is a page description language derived from Adobe’s PostScript language [2]. The design goals are:

- Rendering speed — algorithmic constructs were removed from the language.
- Portability — as a cross platform format, Acrobat Reader is available free of charge on major platforms.
- Compactness — the Lempel, Ziv, Welsh compression algorithm was licensed from UNISYS for maximum compression of files.<sup>1</sup> Multiple Master font technology, partial font download-

<sup>1</sup> Latest news from Adobe says that ZIP compression has been added as well, leading to even better compression ratios.

ing and built-in fonts in the Acrobat Reader lead to a minimum size for portable documents.

- WWW support — hypertext links to other documents on the Internet are allowed. PDF version 1.2 and Acrobat 3.0 (Amber) introduced a linearized arrangement of objects within PDF documents, allowing for incremental downloading across the Internet.
- Extensibility — documents can be extended without losing the old version; notes (stickers) can be added to a document by the readers.
- Password protection — access to a document can be protected by a password.
- Object structure — allows for access to individual pages, with possibility of one-pass generation.
- Easy exchange — ASCII (7bit) PDF files can be generated for better portability and email exchange.

PDF files can be embedded directly in an HTML page using the HTML `<EMBED>` tag [1]. These are becoming more and more popular in the WWW world, as they render faithfully what the author saw (modulo color rendering and resolution of an end-user’s display).

### 2.3 SGML

*Roll on SGML, and real document storage.  
Not just this strange PDF thing  
which traps the visuals like an insect in amber . . .  
James Robertson on comp.text.pdf*

SGML is a widely accepted international standard (ISO 8879) [12, 6, 3] for document markup. It is the format of choice for document storage chosen by many publishers [23, 7, 4]. It is a language for describing markup, aimed at long-term storage, but not at visual layout. As T<sub>E</sub>X’s typesetting engine is still the state-of-the-art, the perspective of typesetting of SGML documents via L<sup>A</sup>T<sub>E</sub>X3 with a T<sub>E</sub>X based engine is a viable option.

## 3 Current Possibilities for Producing PDF from T<sub>E</sub>X

If PDF is required as the end format, with currently available programs one has to generate PostScript from a .dvi file and then to ‘distill’ (using Adobe’s Distiller program) the result to PDF. Some comments and suggestions on how to create PDF files from T<sub>E</sub>X are collected in [17]. Problems with configuring fonts are described in [28] and [8].

## 4 The Name of the Game

*There still are countless important issues to be studied, relating especially to the many classes of documents that go far beyond what I ever intended T<sub>E</sub>X to handle.*

*Don Knuth [21], p. 640*

Motivated by a note by Don Knuth to one of the authors (private communication, 1994), who mentioned he expected people would attempt to create derivations from T<sub>E</sub>X suitable for, e.g., outputting PostScript instead of DVI, a project for creating PDF files directly from the T<sub>E</sub>X source has been attempted [14], introducing the possibility of creating either DVI or PDF output. The working name of this game is T<sub>E</sub>X2PDF. An example of the T<sub>E</sub>X source taking advantage of the new possibilities is shown in figure 1 and the resulting document as viewed with Adobe Acrobat Reader is shown in figure 2 on page 251.

### 4.1 New primitives

New primitives have been introduced in T<sub>E</sub>X2PDF in order to allow for more straightforward use of hypertext features from within T<sub>E</sub>X-like source. Most of their parameters are taken implicitly from the context of use in T<sub>E</sub>X terms, which simplifies their use considerably. We do not specify the full syntax here, because it is not yet fully stable.

`\pdfoutput` changes T<sub>E</sub>X2PDF behaviour from DVI-producing mode to PDF-producing one.

`\pdfannottext` takes an argument which specifies the text of an annotation to be created at the current position.

`\pdfannotlink`, `\pdfendlink` allows the user to specify hypertext links with all of the link attributes available in the PDF specification. An integer argument is used as a key to the corresponding anchor. If no link border has been specified, it is computed for all boxes between `\pdfannotlink` and `\pdfendlink`, so the link will automatically become multiline if a line break occurs in between.

`\pdfoutline` allows for the generation of bookmarks; bookmarks can be hierarchically structured.

`\pdfdestxyz`, `\pdfdestfit`, `\pdfdestfith`, `\pdfdestfitv` provide specification of various types of anchors with zooming and fitting possibilities.

`\pdfdestfitr`, `\pdfendfitr` specify the position of anchor corners. In this case, the anchor area is computed from the corners.

### 4.2 Font handling

Font handling in T<sub>E</sub>X2PDF is currently limited to Type1 fonts only. Metric information is extracted from the `pfb` file. Font name mapping is handled using an auxiliary font mapping configuration file introducing the list of fonts available, together with the information on the type of font embedding and its usage.

Virtual fonts [18] are supported in T<sub>E</sub>X2PDF. As they are in fact part of `.dvi` files, they have to be unfolded before PDF is output, as in today's DVI drivers.

### 4.3 Compression

Compression is allowed in the PDF specification, and several types of compression filters can be used; JPEG compression for color graphics, LZW and ZIP compression for text and graphics, and CCITT Group, Run Length and LZW compression for monochrome images.

As the LZW compression algorithm is licensed by UNISYS, we cannot distribute T<sub>E</sub>X2PDF with LZW support, but we used it for testing runs to compare T<sub>E</sub>X2PDF with Distiller (see table on the following page). However, the even more effective ZIP compression will be available in PDF version 1.2, avoiding the need for LZW compression in T<sub>E</sub>X2PDF, and the patent problems. The test figures show that T<sub>E</sub>X2PDF generated an even more compact PDF file than Adobe Distiller on standard text files.

### 4.4 Graphics

`\specials` are not yet handled by T<sub>E</sub>X2PDF. As most of the graphics included in T<sub>E</sub>X documents are PostScript and TIFF, at least support for the PostScript to PDF and TIFF to PDF conversion will have to be included in the future.

### 4.5 Implementation

The implementation of T<sub>E</sub>X2PDF is realized as a web change file to the latest T<sub>E</sub>X source [20]. This implies that T<sub>E</sub>X2PDF is as portable as T<sub>E</sub>X itself is. Karl Berry's `web2c` package has been used for the development and for producing a running UNIX version. We expect easy recompilation on any UNIX platform.

## 5 Pros and Cons

*I was constantly bombarded by ideas for extensions, and I was constantly turning a deaf ear to everything that did not fit well with T<sub>E</sub>X as I conceived it at the time.*

*Don Knuth [21], p. 640*

To compare  $\TeX$ 2PDF with the other methods of producing a hypertext PDF document from a  $\TeX$  file, we did several testing runs. They were done on a Sun Sparc 10 under the Solaris 2.4 operating system. Measurements were done using the `time` program (CPU times are listed). We used `tex.tex`, generated from the  $\TeX$  source (`tex.web`) file, as the testing document. For the hypertext version we used a slightly changed version of `webmac.tex` (see <http://www.cstug.cz/~thanh/tex2pdf>).

In both time and size comparisons  $\TeX$ 2PDF beats its competitors (see tables on the following page). This is mainly due to the absence of intermediate DVI and PostScript formats in  $\TeX$ 2PDF, allowing for better PDF optimisation.  $\TeX$ 2PDF is slightly slowed down by `pdf` file parsing.

The users familiar with the (`emacs +  $\TeX$  + xhdvi (+ ghostscript)`) suite of programs might want to switch to (`emacs +  $\TeX$ 2PDF + xpdf`), thus speeding up the document debugging cycle considerably.

$\TeX$ 2PDF is written in `web` so that its source blends naturally with the source of  $\TeX$  the program. The obvious benefit is absolute compatibility with  $\TeX$  proper; the actual code which drives the typesetting engine is that of Don Knuth (modulo `whatsits` use for the hypertext primitives added in  $\TeX$ 2PDF). While this conformance to  $\TeX$  source greatly benefits from Don's appreciation of stability, it makes the implementor's life more difficult in the world where PDF still evolves. It is also hard to debug  $\TeX$ 2PDF without incremental compilation. When we come to add implementation of `\special` commands, maintenance will become tough.

The changes introduced in new versions of PDF are motivated by achieving better performance when handling Acrobat documents, and so  $\TeX$ 2PDF is bound to have the PDF-generating modules modified or rewritten so that maximum benefit of the features supported by PDF technology can be used. The fact that PDF specification has been made public is crucial to success of this approach.

The  $\TeX$ 2PDF approach is naturally backward compatible with  $\TeX$ —in fact, if PDF output is not switched on, it can still generate DVI output identical to that of  $\TeX$ . Just by redefining some cross-referencing macros, the new hypertext features of  $\TeX$ 2PDF can be instantly used even without modifying the markup of old  $\LaTeX$  documents.

## 6 Object Reuse

*Using well-designed formats results in  $\LaTeX$  source that clearly reflects the document structure.*

*T. V. Raman [24]*

With PDF, there is the possibility of taking advantage of the object structure and manipulation specified within a PDF file to store elements of document structure (higher level document model) in the PDF file generated by the application ( $\TeX$ 2PDF). Some work has been already done in this direction by defining Encapsulated PDF (EPDF) blocks and their reuse [26]. This format, however, is not supported or used by a wide variety of applications.

The logical structure of a document model is also urgently needed in applications like AsTeR [24], which *reads*  $\LaTeX$  documents using a speech synthesizer. Developing an application that is capable of reading aloud enriched PDF files might become possible.

Our suggestions for further work could lead to primitives which allow handling of PDF *objects* stored in the trailer of a PDF file indirectly. At least three primitives are foreseen:

`\setpdfbox` typesets its argument and stores the result as a PDF object. The reference to that object will stay in the internal register accessible by `\lastpdfbox`.

`\lastpdfbox` returns the reference to the last stored object by `\setpdfbox`.

`\usepdfbox` This primitive puts a *reference* to an object into the output stream.

## 7 Future Work

*Few claim to know what will be the preferred electronic format a century from now, but I'm willing to go out on a limb and assert that it will be none of  $\TeX$ , PostScript, PDF, Microsoft Word, nor any other format currently in existence.*

*Paul Ginsparg [11]*

$\TeX$ 2PDF is currently under development and is available to beta testers only. We do not guarantee that the input syntax will remain unchanged. Support for object reuse, graphics and OpenType (TrueType) fonts when the PDF specification 1.2 comes out may be added.

For testing purposes, a `tex2pdf` option for the `hyperref` package [16] will be written, using the hypertext possibilities of  $\TeX$ 2PDF directly. This will allow using  $\TeX$ 2PDF for re-typesetting of  $\LaTeX$  documents just by loading with `hyperref`

Program(s)	Time without compression	Time with compression (LZW)
$\text{\TeX}$ 2PDF ( $\alpha$ -test version)	1:57	2:38
$\text{\TeX}$ + dvips 5.58 + Adobe Distiller 2.1	6:34 (1:33+0:18+4:43)	6:56 (1:33+0:18+5:05)
$\text{\TeX}$ + dvips 5.58 + Aladdin Ghostscript 4.0	40:23 (1:33+0:18+38:32)	not applicable

Table 1: Speed comparison of several ways of producing PDF file (`tex.pdf`) from a  $\text{\TeX}$  file (`tex.tex`)

Program(s)	without LZW compression	with LZW compression	without compression and PDF file gzipped
$\text{\TeX}$ 2PDF ( $\alpha$ -test version)	8 063 658	3 086 545	1 906 184
$\text{\TeX}$ + dvips 5.58 + Adobe Distiller 2.1	10 530 967	4 387 232	2 115 827
$\text{\TeX}$ + dvips 5.58 + Aladdin Ghostscript 4.0	16 908 552	not applicable	

Table 2: Size comparison of several ways of producing PDF file (`tex.pdf`) from a  $\text{\TeX}$  file (`tex.tex`)

package with the `tex2pdf` option in the document preamble.

Support for the full usage of Multiple Master technology remains to be added, possibly in the combination with METAFONT [15, 13]. Extensions of the paragraph breaking algorithm [22] to take advantage of Multiple Master fonts with a variable width axis (but constant grayness) to help justification (`\emergencypdfwidthstretch`) is another possible direction of future work.

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```

%% LaTeX2e file 't.tex'
%%
\hspace 3in
\baselineskip 13pt
\pdfoutput=1          % we will produce PDF instead of DVI
\pdfannottext
  open                % optional specification if the text annotation is implicitly opened
  {The text annotation} % the text itself
\def\BL{\pdfannotlink
  depth 3pt height 8pt % optional specification for link size
  1                    % key of destination
  border 0 0 1        % optional specification for link border
}
\def\EL{\pdfendlink}
\pdfoutline
  1                    % key of destination
  0                    % number of sub-entries of this item
  {The outline entry} % Text of this item
\pdfdestxyz
  1                    % key of this destination
  zoom 2              % optional zoom factor
%\pdfdestfit 1 or %\pdfdestfith 1 or %\pdfdestfitv 1
%\pdfdestfitr 1 ... \pdfendfitr

```

This is  $\TeX$ , a document compiler intended to produce typesetting of high quality. The PASCAL program that follows is the definition of  $\TeX82$ , a standard version of  $\TeX$  that is designed to be highly portable so that identical output will be obtainable on a great variety of computers.

The main purpose of the following program is to explain the algorithms of  $\TeX$  as clearly as possible.  $\BL$  As a result, the program will not necessarily be very efficient when a particular PASCAL compiler has translated it into a particular machine language.  $\EL$  However, the program has been written so that it can be tuned to run efficiently in a wide variety of operating environments by making comparatively few changes. Such flexibility is possible because the documentation that follows is written in the WEB language, which is at a higher level than PASCAL; the preprocessing step that converts WEB to PASCAL is able to introduce most of the necessary refinements. Semi-automatic translation to other languages is also feasible, because the program below does not make extensive use of features that are peculiar to PASCAL.

A large piece of software like  $\TeX$  has inherent complexity that cannot be reduced below a certain level of difficulty, although each individual part is fairly simple by itself. The WEB language is intended to make the algorithms as readable as possible, by reflecting the way the individual program pieces fit together and by providing the cross-references that connect different parts. Detailed comments about what is going on, and about why things were done in certain ways, have been liberally sprinkled throughout the program. These comments explain features of the implementation, but they rarely attempt to explain the  $\TeX$  language itself, since the reader is supposed to be familiar with  $\{\sl The \TeX book\}$ .

$\bye$

Figure 1: Example of new hypertext primitives added in the  $\TeX2PDF$  source file

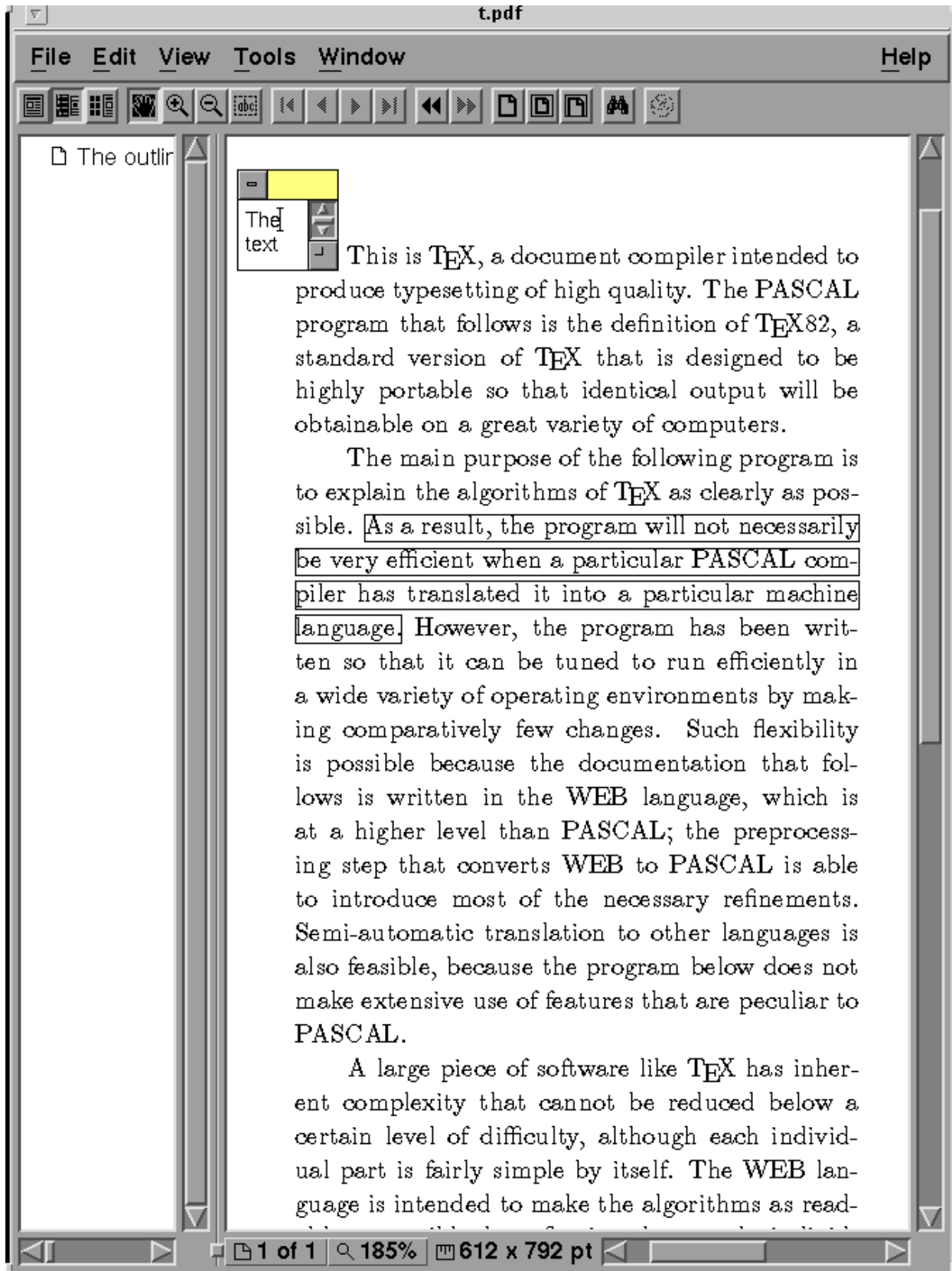


Figure 2: Result of TeX2PDF source in Fig. 1 viewed in Acrobat Reader